

Please type a plus sign (+) inside this box [ + ]

01-02-00

PTO/SB/05 (1/98)

Approved for use through 09/30/2000. OMB 0651-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

Form for new nonprovisional applications under 37 C.F.R. 1.53(b)

Attorney Docket No.	NTL-3.2.172/3666
First Inventor	Brian B. EGAN et al
Title	VOICE OPTIMIZATION IN A NETWORK HAVING VOICE OVER INTERNET PROTOCOL COMMUNICATION DEVICES
Express Mail Label No.	EL694432817US

**APPLICATION ELEMENTS**

**ADDRESS TO:** Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ \*Fee Transmittal Form (e.g., PTO/SB/17) (DUPLICATE)  
(submit an original, and a duplicate for fee processing)
2. ☒ Specification Total Pages [ 18 ]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R&D
  - Reference to Microfiche Appendix
  - Background of the invention
  - Brief Summary of the invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
3. ☒ Drawing(s) (35 U.S.C. 113) Total Pages [ 5 ] (Figs.1-3)
4. Oath or Declaration Total Pages [ 2 ]
  - a. ☒ New executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR § 1.63(d)  
(for continuation/divisional with box 16 completed)
    - i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting  
inventor(s) named in the prior application,  
see 37 CFR §§ 1.63(d)(2) and 1.33(b).

5. ☐ Microfiche Computer Program (Appendix)
6. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a. ☐ Computer Readable Copy
  - b. ☐ Paper Copy (identical to computer copy)
  - c. ☐ Statement verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

7. ☒ Assignment Papers (cover sheet & document(s))
8. ☐ 37 C.F.R. § 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
9. ☐ English Translation Document (if applicable)
10. ☐ Information Disclosure ☐ Copies of IDS Citations  
Statement (IDS)PTO-1449
11. ☐ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)  
(should be specifically itemized)
13. ☐ \*Small Entity ☐ Statement filed in prior application  
Statement(s) (PTO/SB/09-12) Status still proper and  
desired
14. ☒ Request for Certification Under 35 USC 122(b)(2)(B)(i)
15. ☒ Other: Check: \$858.00

\*NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY  
SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED  
(37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS  
RELIED UPON (37 C.F.R. § 1.26).

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_ / \_\_\_\_

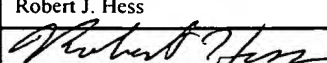
Prior application information: Examiner \_\_\_\_\_ Group/Art Unit: \_\_\_\_\_

**For CONTINUATION or DIVISIONAL APPS. Only:** The entire disclosure of the prior application, from which an oath or declaration is supplied  
under Box 4b, is considered to be part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by  
reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**17. CORRESPONDENCE ADDRESS**

☐ Customer Number or Bar Code Label : or ☒ Correspondence address below  
(Insert Customer No. Or Attach bar code label here) :

Name	COBRIN & GITTES				
Address	750 Lexington Avenue, 21 floor				
City	New York	State	New York	Zip Code	10022
Country	U.S.A.	Telephone	(212) 486-4000	Fax	(212) 486-4007

Name (Print/Type)	Robert J. Hess	Registration No. (Attorney/Agent)	32,139
Signature		Date	December 28, 2000

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments  
on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC  
20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application,  
Washington, D.C. 20231.  
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<b>FEE TRANSMITTAL for FY 2001</b>						<i>Complete if Known</i>																																																																																																																																																																																																																																							
<i>Patent fees are subject to annual revision. Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12.</i>						Application Number		To be assigned																																																																																																																																																																																																																																					
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1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to: Deposit Account Number: 03-2317 Deposit Account Name: COBRIN & GITTES <input type="checkbox"/> Charge any additional fee required under 37 CFR 1.16 and 1.17						<b>3. ADDITIONAL FEES</b>																																																																																																																																																																																																																																							
2. <input checked="" type="checkbox"/> Payment Enclosed: <input type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other						<table border="1" style="width:100%; border-collapse: collapse;"> <thead> <tr> <th colspan="2">Large Entity</th> <th colspan="2">Small Entity</th> <th rowspan="2">Fee Description</th> <th rowspan="2">Fee Paid</th> </tr> <tr> <th>Code</th> <th>(\$)</th> <th>Code</th> <th>(\$)</th> </tr> </thead> <tbody> <tr> <td>105</td> <td>130</td> <td>205</td> <td>65</td> <td>Surcharge - Late filing fee or oath</td> <td></td> </tr> <tr> <td>127</td> <td>50</td> <td>227</td> <td>25</td> <td>Surcharge - late provisional filing fee or cover sheet</td> <td></td> </tr> <tr> <td>139</td> <td>130</td> <td>139</td> <td>130</td> <td>Non-English specification</td> <td></td> </tr> <tr> <td>147</td> <td>2,520</td> <td>147</td> <td>2,520</td> <td>Request for Reexamination</td> <td></td> </tr> <tr> <td>112</td> <td>920*</td> <td>112</td> <td>920*</td> <td>Req. publication of SIR prior to Examiner action</td> <td></td> </tr> <tr> <td>113</td> <td>1,840</td> <td>113</td> <td>1,840</td> <td>Requesting publication of SIR after Examiner action</td> <td></td> </tr> <tr> <td>115</td> <td>110</td> <td>215</td> <td>55</td> <td>Extension within first month</td> <td></td> </tr> <tr> <td>116</td> <td>390</td> <td>216</td> <td>195</td> <td>Extension within second month</td> <td></td> </tr> <tr> <td>117</td> <td>890</td> <td>217</td> <td>445</td> <td>Extension within third month</td> <td></td> </tr> <tr> <td>118</td> <td>1,390</td> <td>218</td> <td>695</td> <td>Extension within fourth month</td> <td></td> </tr> <tr> <td>128</td> <td>1,890</td> <td>228</td> <td>945</td> <td>Extension within fifth month</td> <td></td> </tr> <tr> <td>119</td> <td>310</td> <td>219</td> <td>155</td> <td>Notice of Appeal</td> <td></td> </tr> <tr> <td colspan="4"><b>SUBTOTAL (1)</b></td> <td>\$ 710.00</td> <td></td> </tr> <tr> <td>120</td> <td>310</td> <td>220</td> <td>155</td> <td>Brief in support of an appeal</td> <td></td> </tr> <tr> <td colspan="6" style="padding: 5px;"> <b>2. 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Hess</i></td> </tr> <tr> <td colspan="3" style="padding: 5px;">Complete (if applicable)</td> <td colspan="3" style="padding: 5px;"></td> </tr> <tr> <td colspan="3" style="padding: 5px;">Registration Number</td> <td colspan="3" style="padding: 5px;">32,139</td> </tr> <tr> <td colspan="3" style="padding: 5px;">Date</td> <td colspan="3" style="padding: 5px;">December 28, 2000</td> </tr> </tbody> </table>						Large Entity		Small Entity		Fee Description	Fee Paid	Code	(\$)	Code	(\$)	105	130	205	65	Surcharge - Late filing fee or oath		127	50	227	25	Surcharge - late provisional filing fee or cover sheet		139	130	139	130	Non-English specification		147	2,520	147	2,520	Request for Reexamination		112	920*	112	920*	Req. publication of SIR prior to Examiner action		113	1,840	113	1,840	Requesting publication of SIR after Examiner action		115	110	215	55	Extension within first month		116	390	216	195	Extension within second month		117	890	217	445	Extension within third month		118	1,390	218	695	Extension within fourth month		128	1,890	228	945	Extension within fifth month		119	310	219	155	Notice of Appeal		<b>SUBTOTAL (1)</b>				\$ 710.00		120	310	220	155	Brief in support of an appeal		<b>2. 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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMS control number.

<p align="center">REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)</p>	First Named Inventor	Brian B. Egan et al.
	Title	Voice Optimization in a Network Having Voice Over Internet Protocol Communication Devices
	Atty Docket Number	NTL-3.2.172/3666

I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

December 28, 2000

Date \_\_\_\_\_

Robert W. Lee

Signature

Robert Hess

Typed or printed name

**This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application upon filing.**

**Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.**

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant must notify the United States Patent and Trademark Office of such filing withing forty-five (45) days after the date of the filing of such foreign or international application. Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).